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EURECA Training

Module 1: PPI for Public Sector Procurers and ICT Managers

Plus introduction to energy and environment issues of data centres

Target Audience: Procurement/DC ICT/Legal/CSR/Environment



Version 2.0, 28 July 2017, Contact: https://www.dceureca.eu

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- Starting questions
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 - Public procurement conventional, PPI, PCP
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Starting Questions



- Which environmental impact and resource use issues of main relevance for Data centres do you know?
 - Climate change land use particle emissions ozone depletion water scarcity ?
- Considering use stage electricity consumption only, about which share of electricity consumed in the EU is consumed by Data centres & Server rooms?
 0.1% 0.2% 0.5% 1% 2% 5% ?
- Which indicators, measures or methods can be used to meaningfully compare different Public Procurement for Innovation (PPI) offers regarding their overall environmental performance?
 - Power Usage Effectiveness (PUE) Carbon Usage Effectiveness (CUE) "Free of halogenated compounds and heavy metals" – Life Cycle Assessment/ Environmental footprint – Energy Star – Water footprint ?



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Overview EURECA training KIT



EURECA Training Toolkit	Procurement	Module 1: PPI for Public Sector Procurers and ICT Managers
		Module 2: Business Case Development
		Module 3: Legislation and Policies
		Module 4: Procurement Strategy
		Module 5: Tendering
		Module 6: Data Centre Contracts and Risks
	Technical	Module 7: Data Centre KPI's and Standards
		Module 8: The EU Code of Conduct for Energy Efficiency in Data Centres
		Module 9: The Data Centre Maturity Model



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Learning Outcomes

• Public procurement – conventional, PPI, PCP:

Differentiating conventional, PPI and PCP – what is needed when?

- Background of the EURECA project the societal challenge and policy setting:
 - Why the focus on public procurement of energy-efficient and environmentally sound Data Centres?
- Energy & Greenhouse Gases and Data Centres:
 - ➤ How Data Centres drive energy consumption and greenhouse gas releases.
- Environmental topics beyond climate and energy:

Quick look at other issues, from acid rain and summer smog to human toxicity.

- Measuring the unmeasurable KPIs for innovations:
 - How to capture the environmental and cost performance of innovative products that escape conventional KPIs and award criteria.
- EURECA support to public procurers:
 - ➤ How EURECA supports public procurers overview of the whole package.





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Source: Eurostat

By 2015, GDP in the EU-28 had reached EUR 14.6 trillion (EUR 14 635 billion)

- 250,000+ EU based public authorities
- Public expenditure on goods, works, and services (which includes ICT): approx. 19% of EU GDP = more than €2.3 trillion
- ICT public procurement about €50.3 billion in 2011, about €56 billion in 2015

(* based on 2014 statistics)

Delineation of PCP and PPI

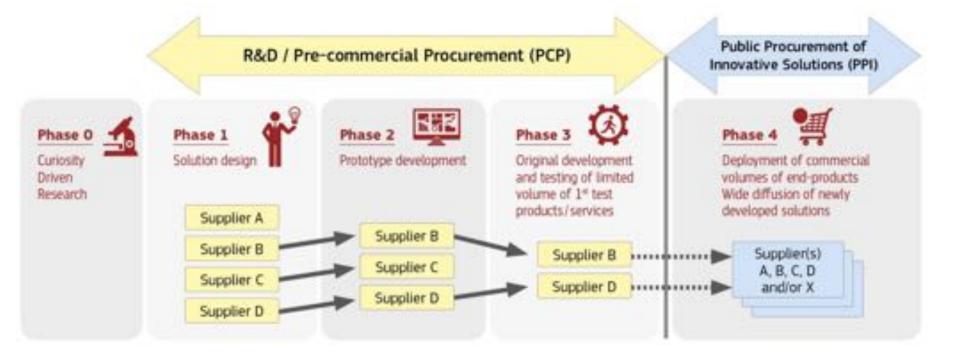


- Pre-Commercial Procurement (PCP) can be used when there are no near-to-the-market solutions yet and new R&D is needed. PCP can then compare the pros and cons of alternative competing solution approaches. This will in turn enable to de-risk the most promising innovations step-by-step via solution design, prototyping, development and first product testing.
- Public Procurement of Innovative solutions (PPI) is used when challenges can be addressed by innovative solutions that are nearly or already in small quantity in the market and do not need new Research & Development (R&D)
- PCP can often be followed by PPI

Source: https://ec.europa.eu/digital-single-market/en/innovation-procurement

Key characteristics of PCP and PPI





Source: https://ec.europa.eu/digital-single-market/en/innovation-procurement

Benefit of PPI



- Improving the quality and/or efficiency of public services beyond offthe-shelf purchase
- Accelerating availability of more sustainable solutions also for private actors, for the benefit of society
- Helping innovative (start-up) companies to grow
- Incentivizing companies to invest in innovation

Benefit of PCP (mid to long-term)

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- Improving the quality and/or efficiency of the public services
- Higher quality and cheaper products
- Reduced risk of failure in large scale follow up PPI procurements
- Increased efficiency of public R&D expenditure and intensity of private R&D expenditure
- Facilitating access of SMEs to the procurement market, speeding up time-tomarket and attracting financial investors for firms
- Increased interoperability/ impact on standardization/ reduction of supplier lock-in
- Impact on competition structure in the market

From: DG CONNECT (2015): Quantifying the impact of PreCommercial Procurement (PCP) in Europe based on evidence from the ICT sector , ISBN 978-92-79-51772-3

PCP and PPI are complementary



	РСР	PPI
When	The identified challenge requires R&D to get new solutions developed and tested. No commitment to deploy (PPI) yet.	Challenge requires solution which is near to the market or already on the market in small quantity but does not meet public sector requirements for large scale development yet. No R&D involved (R&D already does not meet solve challenge)
What	Public procurer buys R&D to steer development of solutions to its needs, gather knowledge about pros/cons of alternative solutions, avoid supering in later (create competitive supplied processing and processing procesing	Public products and services that are by EU funds for Pour by EU funds for Pour by EU funds available yet)
How Horiz	Public procurer in ding for for a suppliers in parall 100 funding active solution approaches) 2020 Expetition evaluating progress after and milestones (design, prototyping, testing). IPR related risks and benefits of R&D are shared between procurer and suppliers to maximize incentives for wide commercialization.	 public sector requirements for large scale development yet. No R&D involved (R&D already de authorities Public processes Public processes<

Main steps of PPI (general)

- Needs identification and assessment
- Prior art analysis
- IPR search
- Analysis of the regulatory, certification, standardization environment
- Drafting a business-case
- Open market consultation
- IPR and confidentiality strategies
- Drafting the tender documents
- Conducting the procurement procedure
- Monitoring and evaluating the contract performance
- Managing after contract issues

EURECA developments geared to support many of the steps and aspects!

From: The EAFIP Toolkit, modified (www.eafip.eu)





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Major societal challenges





Impacts of unsustainable development on mankind and nature:

extreme weather events with high cost, social impacts, ecosystem damages

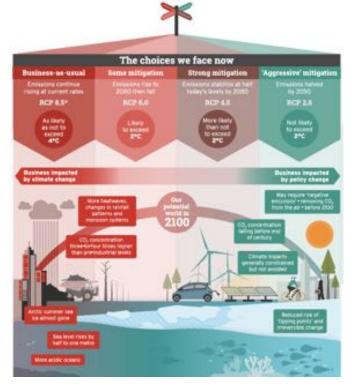


Major societal challenges



Carbon crossroads

The Intergovernmental Panel on Climate Change (IPCC) explores four potential futures depending what policies governments adopt to cut emissions



- 7 billion people live on the planet and need to eat and the population is growing rapidly
- Modern society demands increasing amounts of materials and energy carriers for products – with increasing emissions as consequence, leading to Climate change, water scarcity, air pollution, reduced land productivity, loss of biodiversity, ...
- Distribution of wealth and the access to products to meet even basic needs is highly unequal
- Current production and consumption patterns are not sustainable

Source: <u>http://www.cisl.cam.ac.uk/business-action/low-carbon-</u> <u>transformation/ipcc-climate-science-business-briefings/pdfs/infographics/science-</u> <u>report-infographic-web-en.pdf</u>

The policy setting of EURECA: EU 2020 Climate & Energy targets

- The 2020 package is a set of binding legislation to ensure the EU meets its climate and energy targets for the year 2020.
- The package sets three key targets:
 - 20% cut in greenhouse gas emissions (from 1990 levels)
 - 20% of EU energy from renewables
 - 20% improvement in energy efficiency
- The targets were set by EU leaders in 2007 and enacted in legislation in 2009. They are also headline targets of the Europe 2020 strategy for smart, sustainable and inclusive growth.
- The EU is taking action in several areas to meet the targets.
- Meeting the targets still needs additional effort.





The policy outlook: EU 2030 Climate & Energy targets



- The 2030 climate and energy framework sets three key targets for the year 2030:
 - At least 40% cuts in greenhouse gas emissions (from 1990 levels)
 - At least 27% share for renewable energy
 - At least 27% improvement in energy efficiency
- The framework was adopted by EU leaders in October 2014. It builds on the 2020 climate and energy package.
- It is also in line with the even much more ambitious, longer term perspective set out in the Roadmap for moving to a competitive low carbon economy in 2050, the Energy Roadmap 2050 and the Transport White Paper.



Paris Climate Agreement to enter into force



by Ewa Krukowska V Ewa_Exd October 4, 2016 - 12x48 PM CEST Updated on October 4, 2016 - 10x09 PM CEST f V Even stricter targets and a quicker pace are expected, despite some current adverse developments, that are very likely temporary

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EU Energy Data – Defining energy



Energy can take many forms and may undergo several processes before it can be used by us, but all go back to the primary energy.

Therefore, in our project we define "energy consumption" in terms of Primary Energy:

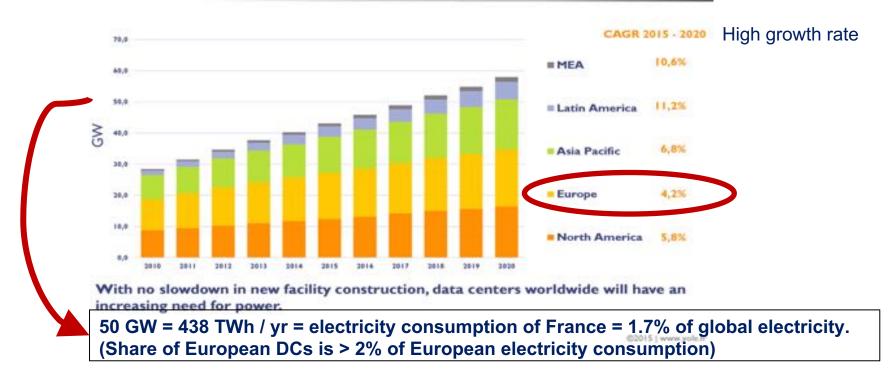
"Primary energy (PE) is an energy form found in nature that has not been subjected to any conversion or transformation process. It is energy contained in raw fuels (e.g. coal, crude, wood, nuclear fuels, ...), and other forms of energy received as input to a system (e.g. solar power, hydropower, ...). Primary energy can be non-renewable or renewable."

ICT is a power-hungry sector, with Data Centres at its core



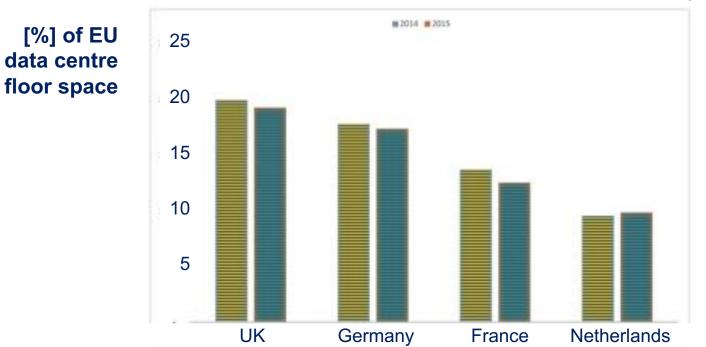
WORLDWIDE DATA CENTER FACILITIES - POWER NEEDS IN GW





Where are data centres concentrated?

4 Key markets control 59% of m2 space in Europe at end 2015

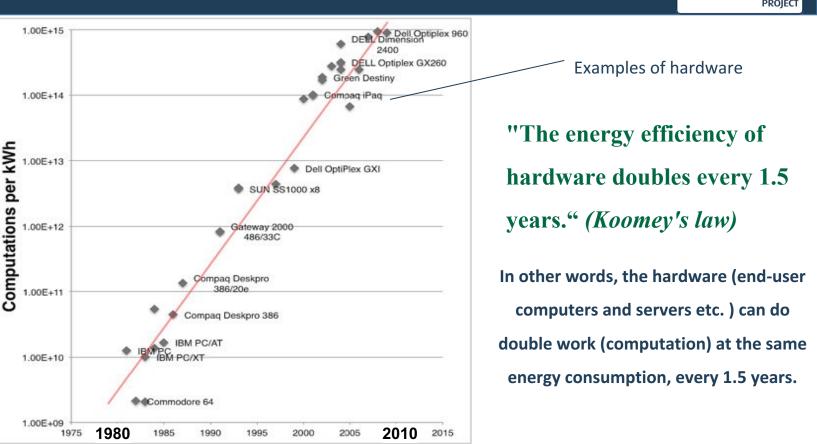


Source: Broadgroup

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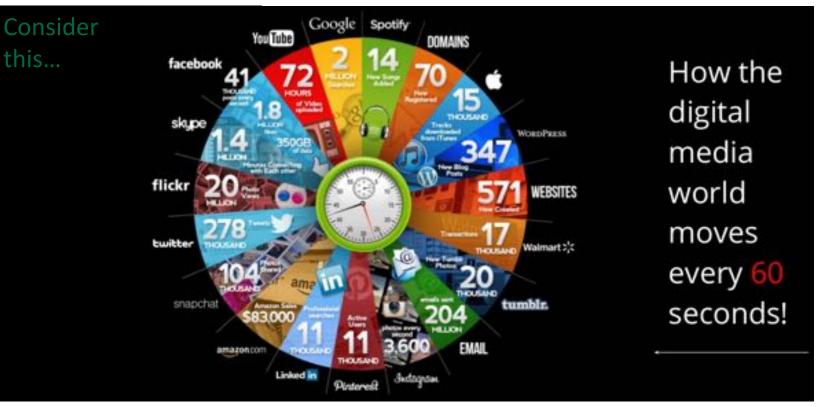
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Data Centre hardware becomes more energy efficient...



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...but we all use more digital services



Source: http://www.slideshare.net/Pisocialmedia/october-ppt (2015)

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And more still to come ...





Source, modified: Amsterdam Smart City (https://amsterdamsmartcity.com)

While every smart device uses one or more data centres at some point – the "Internet of the Things" is coming on top

...including an increasing e-Government

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EU e-government report: countries should follow Estonia's footsteps

BY ETERLEWINERATE IN TECHNOLOGY OCTOBER'S 2016 - 2.000MENTS





However: Important is to ensure that efforts for improved energy-efficiency and environmental performance do not negatively affect the functional performance of the data centre services.



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Environmental topics – the bigger picture



"What's the largest multinational of Europe? There is no other that produces so much food, provides us with so many services and products, and offers so many jobs... IT'S NATURE"

Video from European Commission's Directorate General for the Environment:

https://www.youtube.com/watch?v=wMIUglBligl&feature=y outu.be

There are several environmental impact categories and indicators, widely used in Life Cycle Assessment studies and Environmental Footprinting, that focus on assessing impacts on life's ecosystem services which we depend on.

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Environmental topics

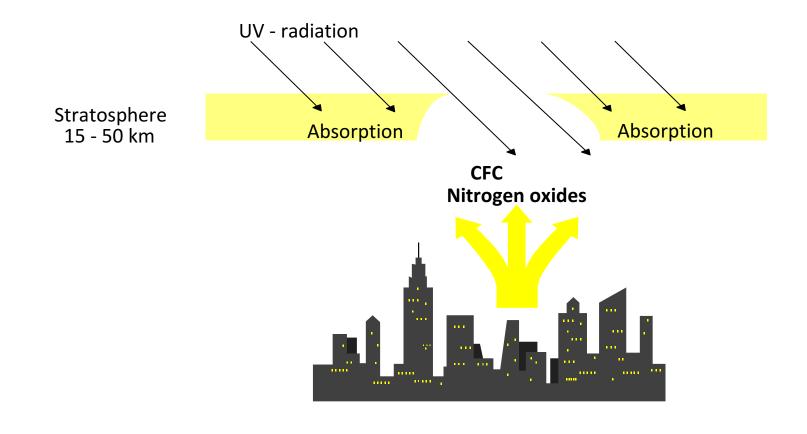


Those most relevant ones for Data Centres AND with relative robust assessment methods are indicated in **bold**

Impact category	Short description	Examples
Climate Change / Global Warming Potential (GWP)	Emissions into air; influencing the earth's atmosphere balance	All GHG's
Ozone Depletion Potential (ODP)	Emissions into air; depleting the earth's protective ozone layer	HFC's, CFC's,
Acidification Potential (AP)	Emissions into air (causing 'acid rain') or acidification of waterbodies	NO _x , SO ₂
Eutrophication Potential to land, waterbodies (EP)	Excessive nutrients in waterbodies (lakes and seas) and soils causing depletion of oxygen in the environment's ecosystem	Nitrogen (N), Phosphorus (P),
Photochemical Oxidant Creation Potential (POCP)	Emissions into air; generating ozone near the ground	CHn, NOx,
Human toxicity: Potential toxicity damage to human beings (HTP)	Emissions into soil, air and water; harmful to health and genetic material in a cell or organism	HMs, Dioxins
Ecotoxicity: Potential toxicity damage to aquatic and terrestrial ecosystems (AETP / TEPT)	Emissions in soil, air and water; disturbing the local ecosystem balance of flora and fauna	HMs, Acids
Land use	Occupation and modification of natural environment for specific use	Agriculture, open pit mining, roads
Water scarcity	Lack of (access to) water resources to meet water needs within a region.	Water lost from the catchment to air or else.
Material and energy resource depletion	Non sustainable consumption of fossil fuels, metal ores, minerals, etc.	Crude oil, copper ore
lonising radiation	Emissions into air, water and soil of radioactive substances	C-14, I-131,
Particulate matter / respiratory inorganics	Emissions into air of fine particulate matter and of substances that form particles after emissions	PM2.5, SOx,

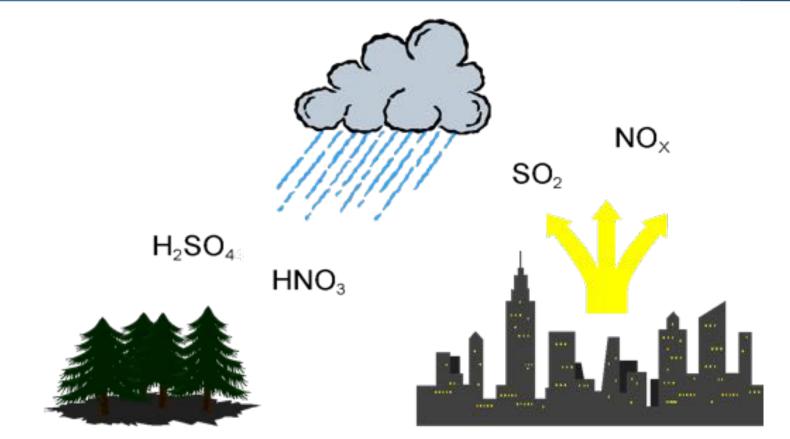
Environmental topics <u>Stratospheric ozone depletion, Ozone Depletion Potential (ODP)</u>

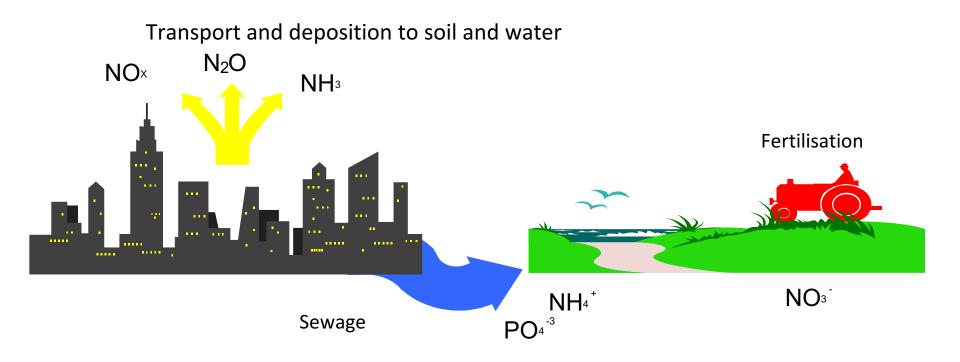




Environmental topics Acidification, Acidification Potential (AP)







Hydrocarbons

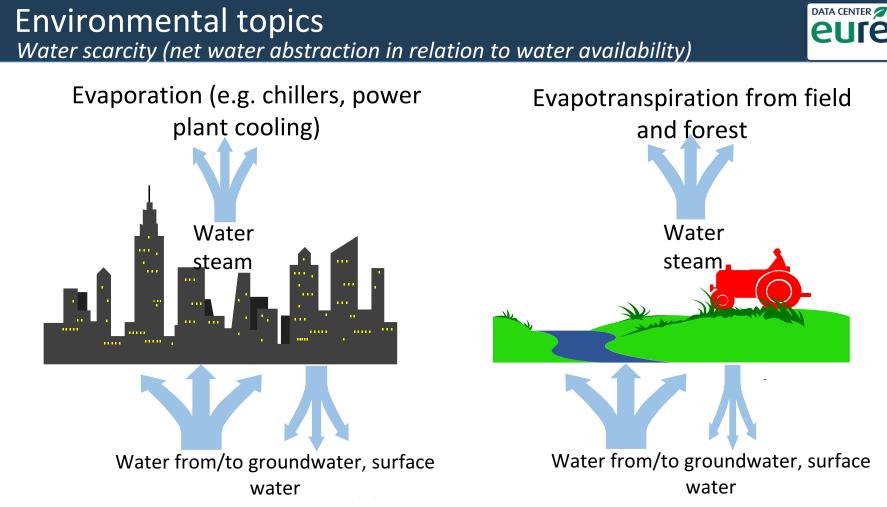
Nitrogen oxides

Dry and warm climate



Ozone







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How green is green, how blue is blue?



How can we measure what is **green** (= environmentally preferable)... How can we measure what is **blue** (= more sustainable)...

- ... for **innovative products** (goods and services)?
- Conventional KPIs are applicable to conventional products, may often misguide on innovation procurement decisions.
- Many KPIs used for conventional product are <u>unsuitable</u> even for conventional products, let alone innovative products, e.g. Power Usage Effectiveness (PUE), Carbon Usage Effectiveness (CUE), "Free of halogenated compounds and heavy metals" and similar
- A more robust, open assessment is typically needed to get suitable award criteria for innovation...



One of the top 10 ideas changing the world....







Ecological Intelligence By BRYAN WALSH Thursday, Mar. 12, 2009

"When it comes to going green,

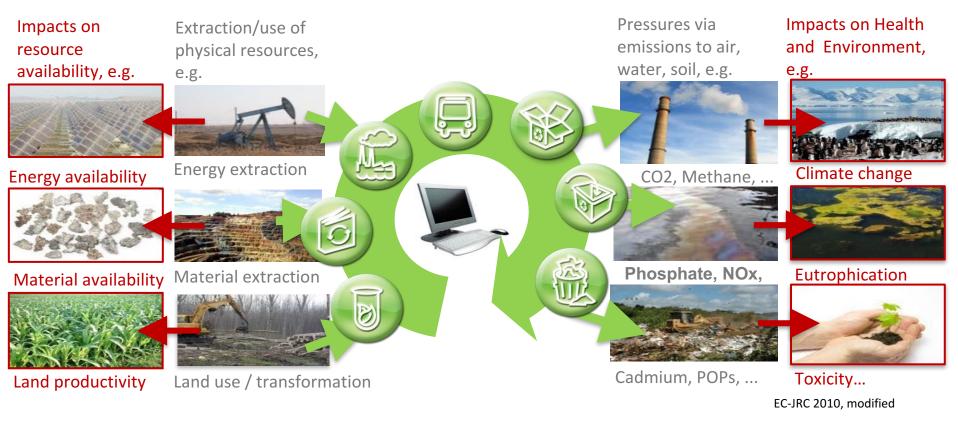
intention can be easier than action.

•••

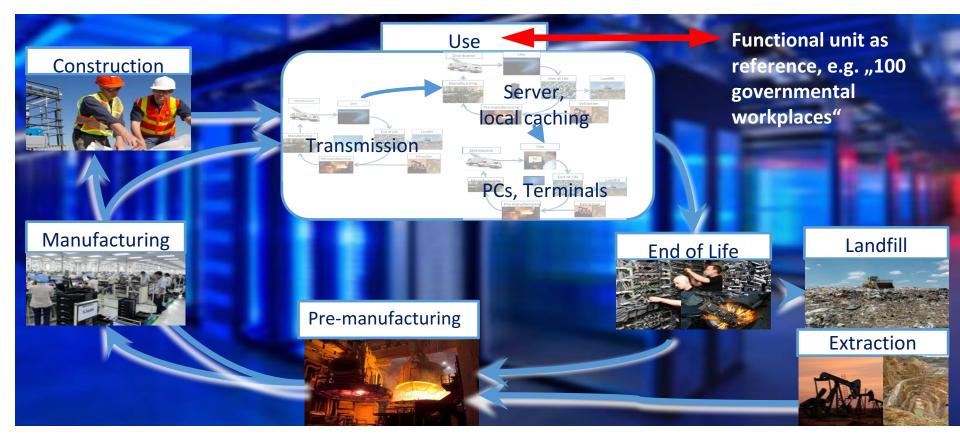
But what if we could seamlessly calculate the full lifetime effect of our actions on the earth and on our bodies? ...

... have been using a method called **life-cycle assessment (LCA)** to break down that web of connection.

(Environmental) Life Cycle Assessment (LCA)



DC life cycle (i.e. supply-chain, use phase, and end-of-life)



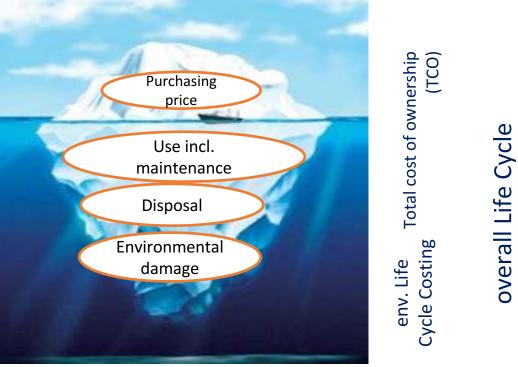
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Life Cycle Costing (LCC)



Two main components to overall LCC



- LCC is core part of procurement, further strengthened in the EU Procurement Directive of 2014
- Both TCO, but also overall LCC (i.e. including the hidden environmental costs) can be used

Costing

LCA and LCC – how do they relate?



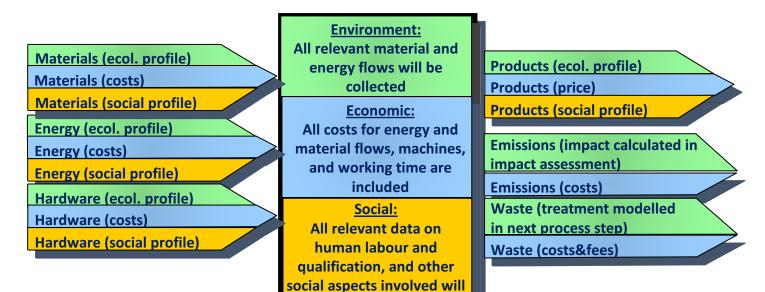
Торіс	LCC (TCO)	LCA
Purpose	Determine true cost-efficiency of alternative investments and business decisions, from the perspective of an economic decision maker such as a manufacturing firm or a consumer	Compare relative environmental performa alternative product systems for manement d- use function, from a broad A (Procure 1) All processes for module 1 All processes slide of this and to the physical life cycle ria: see EURECA Module of this and to the physical life cycle ria: see EURECA Module of this and to the physical life cycle ria: see EURECA Module of this and to the physical life cycle ria: see EURECA Module of this and to the physical life cycle ria: see EURECA Module of this and the entire pre-usage supply in references slide ang the entire pre-usage supply in references supplying end-of-life steps Primarily mass and energy; occasionally volume, other physical units → transformed to environmental impacts and resource use (energy, materials, land, water). Monetarisation possible but not consensus. The timing of processes and their release or consumption flows is traditionally ignored; impact assessment may
Considered activities	Activities causing direct costs or benefits to the decision maker during the economic life of the investment, as a result of the investment and criter and	All per EURECA the of this and to the physical life cycle ria: see EURE slide ang the entire pre-usage supply in references supplying use; end-of-life are processes supplying end-of-life steps
Unit	Monetary CA Environmental footprinting "Blue" ctrategy), and "EU PEF" and "Blue"	Primarily mass and energy; occasionally volume, other physical units → transformed to environmental impacts and resource use (energy, materials, land, water). Monetarisation possible but not consensus.
Time	costs and benefits. Specific time horizon scope is adopted, and any costs or benefits occurring outside that scope are ignored	The timing of processes and their release or consumption flows is traditionally ignored; impact assessment may address a fixed time window of impacts but future impacts are generally not discounted

Adding the third dimension – Life Cycle Sustainability Assessment



Combined environmental and economic life cycle data per process (e.g. manufacturing, use, ...) (Note: Social part is not covered quantitatively by EURECA):

Process step (e.g. assembly, use, ..)



be collected



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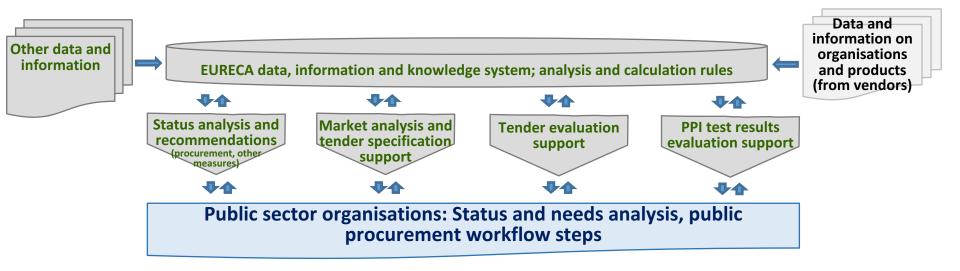
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EURECA within the EU funded CSAs/ Networks of procurers (FP7/CIP/H2020)



HEALTH	SAEPP (preparing	PCP) C4	C4BI (networking) PRO4V		IP (preparing PCP)		
AGEING	Ambulance ICT	nce ICT Cities on healthca			are ICT for Visually impaired		
EPP-6	, link with VC)						
Cities on e-health					E-health procurers		
TRANSPORT	P4ITS (Prepa	(Preparing PPI)		TRANSFORM (Networking)			
	Intelligent Tr	ansport Systems	isport Systems City/R		City/Reg	Regional transport	
ICT	PICSE (Preparing PCP/PPI)			COMPLETE (Preparing PPI)			
Cloud computing research cloud					Photonics broadband		
SUSTAINABILITYEURECA (prep PPI)Green data centresWATER PIPPWater procurersPPI – sustainabilityGREENS (prep PPI)					BRODISE Cities brown field decontamination PPI4WASTE		
Energy ager	· · · · ·	PPI – bio based products		•	Waste management		
More info on:						/19	

EURECA framework to support public procurers - overview



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EURECA developments



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- **Market directory** to gain a quick overview of what the market offers, where is PPI needed/justified, and what can otherwise directly be procured (and how ambitious the be)
- Public Procurer Peers Interest group exchange experiences, make vor efficient, showcase your administration's successes: https://www
- and in selected Member Legislation overview – starting point of relevant legal cor States
- ur De rivier training modules for detain ur De ECA training narmon ur De EURECA training narmon ur use Standards, Labels and Guidance overview armonised standards and other ۲ guidance material in support of DC development
- and and what are most prominent points for improvement Self-evaluation fun auick overvi
- the 150+ best practices to improve your in-house DC management **Charles (including webinar recordings)** 1.5 days of condensed knowledge on all that . s for DC-related procurement
- **Events** Road tour of roll-in and dissemination of EURECA developments across Europe, from Riga to Turin, from London to Barcelona, from Brussels to Berlin, ...



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References & Further Reading



Public procurement – conventional, PPI, PCP:

- European Commission, public procurement: <u>https://ec.europa.eu/growth/single-market/public-procurement_en</u>
- European Commission, PPI: <u>https://ec.europa.eu/digital-single-market/en/innovation-procurement</u>
- European Commission "GPP of data centres", procurement criteria for ,green' DCs (ongoing in 2017): http://susproc.jrc.ec.europa.eu/Data_Centres/index.html
- EAFIP project, guidance, events, support on PPI (general): <u>http://eafip.eu/</u>
- PPI support website (general), with news, events, further resources: <u>https://www.innovation-procurement.org</u>
- National Contact Points for Horizon 2020, PPI and PCP funding: http://ec.europa.eu/research/participants/portal4/desktop/en/support/national_contact_points.html
- ICT proposers' day annual event to find partner for EU funded PPI projects, with ca. 1500 participants, e.g. 2017:
 → <u>https://ec.europa.eu/digital-single-</u> market/en/news/ict-proposers-day-2017

Background:

- EU2020: http://ec.europa.eu/europe2020/index_en.htm
- EU2030: https://ec.europa.eu/energy/en/topics/energy-strategy/2030-energy-strategy

Energy & Greenhouse Gases and Data Centres:

- EEA info on Climate change data, trends, mechanisms: <u>http://www.eea.europa.eu/themes/climate/dc</u>
- On global data centre energy consumption growth: <u>http://www.datacenterdynamics.com/content-tracks/design-build/the-truth-is-data-center-power-is-out-of-control/95425.fullarticle</u>

Environmental topics – beyond Climate and Energy:

• Michael Z. Hauschild, Mark A.J. Huijbregts (eds.): Life Cycle Impact Assessment. Monograph in book series: LCA Compendium – The Complete World of Life Cycle Assessment. 2015, 1st edition. 978-94-024-0404-3. Springer Netherlands.

Measuring the unmeasurable – KPIs for Innovations:

- EU PEF/OEF, including specific rules for life cycle footprint calculations of UPS, batteries, and hard disks: http://ec.europa.eu/environment/eussd/smgp/policy_footprint.htm
- Single Market Act: https://ec.europa.eu/growth/single-market_en
- Blue Angel for Energy-efficient DCs; the criteria can also be singled out and combined for GPP: https://www.blauer-engel.de/en/products/office/data-center-operation
- Marc-Andree Wolf, Rana Pant, Kirana Chomkhamsri, Serenella Sala, David Pennington (2012): International Reference Life Cycle Data System (ILCD) Handbook Towards more sustainable production and consumption for a resource-efficient Europe. JRC Reference Report, EUR 24982 EN. European Commission, Joint Research Centre. Luxembourg. Publications Office of the European Union; 2012.
- Marc-Andree Wolf, Kirana Wolf (2016): LCA of data centres not only the use stage matters. Presentation held at EcoBalance Conference October 2016, Kyoto. Access slides at: http://maki-consulting.com/2017/01/13/presentation-at-ecobalance-2016-kyoto-on-lca-of-data-centres-relevance-of-use-stage-vs-production/

EURECA support to public procurement:

• EURECA website, tool, market directory, training material, etc.: https://www.dceureca.eu/